

RECEIVED

OCT 09 2002

TECH CENTER 1600/2900

I hereby certify that this correspondence is
being deposited with the United States
Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,
Washington, D.C. 20231,

On October 2, 2002

Quine Intellectual Property Law Group, P.C.

By [Signature]
Chianti Appling

Attorney Docket No. 407T-895500US

Client Ref. No. 98-298-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Paul A. Price, et al.

Application No.: 09/164,862

Filed: October 1, 1998

For: YKL-40 AS A MARKER AND
PROGNOSTIC INDICATOR FOR
CANCERS

Examiner: Lin Sun-Hoffman, Ph.D.

Art Unit: 1642

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. Also, enclosed is a copy of International Search Report for corresponding International Application No. PCT/US99/22615. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

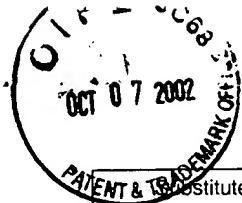
Respectfully submitted,

A handwritten signature in black ink, appearing to read "Tom Hunter", with a long horizontal flourish extending to the right.

Tom Hunter
Reg. No. 38,498

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. Box 458
Alameda, CA 94501
Tel: (510) 337-7871
Fax: (510) 337-7877

TH:ca



RECEIVED

OCT 09 2002

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	09/164,862
	Filing Date	October 1, 1998
	First Named Inventor	Paul A. Price
	Group Art Unit	1642
	Examiner Name	Lin Sun-Hoffman, Ph.D.
	Attorney Docket Number	407T-895500US
Date Submitted		

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
		Number	Kind Code (if known)			
SL	1	5,726,061		Holmes et al.	10 March 1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
SL	2	WO	95 01995 A		University of California	19 Jan 1995		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
SL	3	PCT International Search Report	
SL	4	JOHANSEN ET AL. (1995) "Serun YKL-40: A New Potential Marker of Prognosis and Location of Metastases of Patients with Recurrent Breast Cancer", EP J. Cancer 32a(9): 1437-1442	
	5	KIRKPATRICK ET AL. (1997) "Induction and Expression of Human Cartilage Glycoprotein 39 in Rheumatoid Inflammatory and Peripheral Blood Monocyte-Derived Macrophages", Experimental Cell Research 237(1): 46-54	
	6	RENKEMA ET AL. (1998) "Chitotriosidase, a Chitinase, and the 39-kDa Human Cartilage Glycoprotein, a Chitin-Binding Lectin, are Homologues of Family 18 Glycosyl Hydrolases Secreted by Human Macrophages", EP J. Biochem. DE, Berlin. 251(251): 504-509	
	7	BASLUND ET AL. (1998) "YKL-40 in the Circulation and Temporal Artery in Patients with Giant Cell Arteritis and Polymyalgia Rheumatica", 41(9):S118	
	8	BOOT ET AL. (1999) "Strong Induction of Members of the Chitinase Family of Proteins in Artherosclerosis: Chitotrisidase and Human Cartilage gp-39 Expresses in Lesion Macrophages", 19(3) 687-694	
	9	NORDENBAEK ET AL. (1999) "YKL-40, A Matrix Protein of Specific Granules in Neutrophils, is Elevated in Serum of Proteins with Community-Aquired Pneumonia Requiring Hospitalization" J. of Infec. Dis. 180(5): 1722-1726	
	10	VOLCK ET AL. (1998) "YKL-40, A Mammalian Member of the Chitinase Family, is a Matrix Protein of Specific Granules in Human Neutrophils", Proceedings of the Association of American Physicians, 110(4):351-360	

Examiner Signature	<i>SL</i>	Date Considered	4/8/03
-----------------------	-----------	--------------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.